

## STIC Search Report

## STIC Database Tracking Number

TO: Kenneth Tang Location: RND 5B59

**Art Unit: 2195** 

Thursday, July 21, 2005

Case Serial Number: 09/389201

From: David Holloway Location: EIC 2100

**RND 4B19** 

Phone: 2-3528

david.holloway@uspto.gov

## Sexion Notes

Dear Examiner Tang,

Attached please find your search results for above-referenced case. Please contact me if you have any questions or would like a re-focused search.

David



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Description
        Items
Set
S1
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S20 2 S19 NOT (S17 OR S10 OR S12)
File 347:JAPIO Nov 1976-2005/Feb(Updated 050606)
         (c) 2005 JPO & JAPIO
File 350:Derwent WPIX 1963-2005/UD,UM &UP=200546
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9/5/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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015902924 \*\*Image available\*\*
WPI Acc No: 2004-060764/200406

XRPX Acc No: N04-049207

Client and server processes managing method, involves receiving notification to indicate termination of client process, removing identification from list, and performing process management operation in response to change of list

Patent Assignee: MICROSOFT CORP (MICT )

Inventor: BAKIN D; NICHOLSON A L; SANDADI U; SAUNTRY D; SHEPARD M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 20030225870 A1 20031204 US 2002156463 A 20020528 200406 B

Priority Applications (No Type Date): US 2002156463 A 20020528 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes US 20030225870 A1 15 G06F-015/173

Abstract (Basic): US 20030225870 A1

NOVELTY - The method involves receiving an **initial** request from a client **process** for a service provided by a server **process** to add a client **process** identification corresponding to the client **process** to a **list** . A notification is received to indicate the termination of the client **process** and the identification is removed. A **process** management operation is performed in response to a change of the **list** 

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

(1) a computer-readable medium including computer- executable instructions for managing a client process and a server process referenced by the client process

referenced by the client **process**(2) a computer system application program execution infrastructure facilitating managing execution of client processes and a referenced server **process**.

USE - Used for managing client and server processes operating within separate **process** spaces within a computer system.

ADVANTAGE - The method manages the client and server

ADVANTAGE - The method manages the client and server component-based processes and hence ensures desirable consumption of limited computer system resources.

DESCRIPTION OF DRAWING(S) - The drawing shows a flowchart of a set of steps performed in response to a client process initially requesting user of a server component interface.

pp; 15 DwgNo 4/6
Title Terms: CLIENT; SERVE; PROCESS; MANAGE; METHOD; RECEIVE;
NOTIFICATION; INDICATE; TERMINATE; CLIENT; PROCESS; REMOVE; IDENTIFY;
LIST; PERFORMANCE; PROCESS; MANAGEMENT; OPERATE; RESPOND; CHANGE;
LIST

Derwent Class: T01

International Patent Class (Main): G06F-015/173

International Patent Class (Additional): G06F-009/46; G06F-015/16

17/5/4 (Item 2 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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012979556 \*\*Image available\*\* WPI Acc No: 2000-151409/200014

XRPX Acc No: N00-112395

Spool file execution order control method for network printer - involves reading spool file corresponding to spool information exceeding set-time, from queue, and sending it to printing module after which deletion of process finished spool information is performed

Patent Assignee: NIPPON DENKI ENG KK (NIDE ) Number of Countries: 001 Number of Patents: 001 Patent Family:

Pacent Family.

Patent No Kind Date Applicat No Kind Date Week
JP 2000010746 A 20000114 JP 98173538 A 1998061 200014 B

Priority Applications (No Type Date): JP 98173538 A 19980619 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes JP 2000010746 A 6 G06F-003/12

Abstract (Basic): JP 2000010746 A

NOVELTY - If spool file information over set-up time exists, and spool file of higher priority does not exist, the spool file (12) equivalent to the spool file information is read from queue (14) of FIFO. Spool file is then sent to printing module (15). Spool file information corresponding to sending and processing finished file is deleted, finally. DETAILED DESCRIPTION - When spool file information over a setup time does not exist, it is detected whether spool file (12) of high priority level is sent from priority level management unit. The spool file is then read and sent to printing module (15).

USE - For network printer.

ADVANTAGE - Usual printing demand is not processed, until all high priority demands are finished. Hence avoids extreme time variation in printing. DESCRIPTION OF DRAWING(S) - The figure shows block diagram of print server. (12) Spool file; (14) Queue; (15) Printing module.

Dwg.2/7

Title Terms: SPOOL; FILE; EXECUTE; ORDER; CONTROL; METHOD; NETWORK; PRINT; READ; SPOOL; FILE; CORRESPOND; SPOOL; INFORMATION; SET; TIME; QUEUE; SEND; PRINT; MODULE; AFTER; DELETE; PROCESS; FINISH; SPOOL; INFORMATION; PERFORMANCE

Derwent Class: P75; T01

International Patent Class (Main): G06F-003/12 International Patent Class (Additional): B41J-029/38

File Segment: EPI; EngPI

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       6:NTIS 1964-2005/Jul W2
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      34:SciSearch(R) Cited Ref Sci 1990-2005/Jul W3
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         (c) 2005 The HW Wilson Co.
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      95:TEME-Technology & Management 1989-2005/Jun W2
         (c) 2005 FIZ TECHNIK
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             JOB OR JOBS OR EXECUTABLE
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             ATRIX? OR MATRICES? OR TREE? OR BTREE?
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S9
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            2
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S13
           14
                S3 AND (LIFO OR FIFO)
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S28
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? show files
File 347: JAPIO Nov 1976-2005/Feb (Updated 050606)
         (c) 2005 JPO & JAPIO
File 350:Derwent WPIX 1963-2005/UD, UM &UP=200546
         (c) 2005 Thomson Derwent
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31/5/2 (Item 2 from file: 347)
DIALOG(R)File 347:JAPIO
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06253452 \*\*Image available\*\*
DOCUMENT MANAGING DEVICE /METHOD AND STORAGE MEDIUM

PUB. NO.: 11-195031 [JP 11195031 A] PUBLISHED: July 21, 1999 (19990721)

INVENTOR(s): YASHIRO SATORU

APPLICANT(s): CANON INC

APPL. NO.: 09-366740 [JP 97366740] FILED: December 26, 1997 (19971226)

INTL CLASS: G06F-017/30

## ABSTRACT

PROBLEM TO BE SOLVED: To provide a document managing **device** executing the processing of high processing cost when the working rate of a processor is low, reducing storage cost and realizing document registration/retrieval with low total cost.

SOLUTION: In the document managing device, the generation processing of a character index is executed in the case of a document registration event, the pointer of a document in the middle of processing is inserted into a word index non-processing queue and a document storage processing is executed on a secondary storage device 6. When a document retrieval event exists, retrieval is executed from the character index and retrieval is executed from the word index. The sum set of the retrieval results is obtained and the retrieval result is outputted to a display 2. When the event does not exist, the input of an operator does not exist and the device is not operated. When the word index generation non-processing queue exists at that time, the word index generation processing is executed. The character index of the document whose processing is terminated is deleted.

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31/5/10 (Item 1 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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014581378 \*\*Image available\*\*
WPI Acc No: 2002-402082/200243

XRPX Acc No: N02-315229

Data processing monitoring apparatus for RDBMS, initiates action specified by action code included in monitoring request when action time is earlier to current time, and deletes requests corresponding to completed actions

Patent Assignee: NCR CORP (NATC )

Inventor: DEMPSEY M; ISHIMOTO G K; KAM W H; YANG A Y

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 6356917 B1 20020312 US 98118413 A 19980717 200243 B

Priority Applications (No Type Date): US 98118413 A 19980717

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 6356917 B1 13 G06F-017/30

Abstract (Basic): US 6356917 B1

NOVELTY - A monitoring request including action time, action code and destination, is registered in a monitor request **table** of database management system. An alert control module periodically processes the monitoring request to determine whether an action corresponding to the action code is to be taken. An action is initiated when the action time is earlier to current time. The monitoring requests corresponding to the **completed** actions are **deleted**.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (a) Data processing monitoring method;
- (b) Program storage **device** storing data processing monitoring program

USE - For monitoring data processing **jobs** directed to relational database management system (RDBMS) by management information system of companies.

ADVANTAGE - Progress of database **jobs** is informed to the operator, hence the **jobs** are taken off-line and altered. Allows additional data processing control and simplifies the design of software modules implementing the alert-raising functions by relieving them of the responsibility of keeping track of several database **jobs**.

DESCRIPTION OF DRAWING(S) - The figure shows the flowchart explaining the operations performed by the  $\ process$  to provide alert and monitoring functions.

pp; 13 DwgNo 3A/5

Title Terms: DATA; PROCESS; MONITOR; APPARATUS; INITIATE; ACTION; SPECIFIED; ACTION; CODE; MONITOR; REQUEST; ACTION; TIME; EARLY; CURRENT; TIME; DELETE; REQUEST; CORRESPOND; COMPLETE; ACTION

Derwent Class: T01

International Patent Class (Main): G06F-017/30

31/5/12 (Item 3 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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012866222 \*\*Image available\*\*
WPI Acc No: 2000-038055/200003

XRPX Acc No: N00-028698

Spin lock recovery method in multi-processing system

Patent Assignee: LUCENT TECHNOLOGIES INC (LUCE )
Inventor: BOHANNON P L; LIEUWEN D F; SILBERSCHATZ A
Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 5991845 A 19991123 US 96729658 A 19961021 200003 B

Priority Applications (No Type Date): US 96729658 A 19961021

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 5991845 A 20 G06F-013/14

Abstract (Basic): US 5991845 A

NOVELTY - A specific flag is set-up to indicate that recovery of queue structure in process. The queue structure is recovered, after all modification activity on the queue structure is completed. The specific flag is reset to indicate that recovery process is completed, after completion of clean-up process employed for removing terminated processes from queue structure.

DETAILED DESCRIPTION - A queue structure including a process currently having exclusive access to the lock, is generated. The processes for deciding the ownership of lock, is added to the queue structure. A pair of structure flags indicating device of one of processes to be added to queue structure, are set-up, respectively. A specific status flag indicating whether the queue structure is being restored, is monitored. The queue structure is not modified, if it is being restored. Clean-up process is performed, if one or more processes indicated by the first status flag are terminated, a clean-up process is performed to remove processes from the queue structure.

USE - For recovery of spin lock in multi-processing system, for restricting simultaneous access of shared memory.

ADVANTAGE - The algorithm used for scalable synchronization on shared memory multi-processors, also known as MCS algorithm, is improved by detecting and removing terminated processes from the queue structure. Ensures integrity of queue structure by reassembling the linked list queue structure after removing the failed or terminated processes, and assigning the exclusive accessed of the lock to a new process if the process which previously owned the lock is terminated. Suits for multiprocessing system employing automatically implemented hardware instruction such as swap and compare-and-swap, without the need for additional hardware. The collection of each process which requires pointer provides the system with overestimation of set of possible owners of spin lock and the collection of the status of each process locked flag provides an under-estimate of lock ownership.

DESCRIPTION OF DRAWING(S)  $\overline{\ }$  The figure illustrates the spin-lock recovery acquisition routine.

pp; 20 DwgNo 5/8

Title Terms: SPIN; LOCK; RECOVER; METHOD; MULTI; PROCESS; SYSTEM

Derwent Class: T01

International Patent Class (Main): G06F-013/14

International Patent Class (Additional): G06F-013/00

(Item 3 from file: 350) DIALOG(R) File 350: Derwent WPIX (c) 2005 Thomson Derwent. All rts. reserv. \*\*Image available\*\* 012628356 WPI Acc No: 1999-434460/199937 XRPX Acc No: N99-323789 Automatic quota unit for memory device of data processing system - has controller that stores lowest external storage device name to parameter file controller, with parameter modifying unit and deletes starting job name, with job completion notifying unit Patent Assignee: NIPPON DENKI FIELD SERVICE KK (NIDE ) Number of Countries: 001 Number of Patents: 002 Patent Family: Patent No -Date Applicat No Kind Date Week Kind-19990702 JP 97338668 J<u>P. 1117535</u>8  $^{\mathsf{A}}$ 199937 Α 19971209 JP 3022829 B2 20000321 JP 97338668 19971209 200019 Priority Applications (No Type Date): JP 97338668 A 19971209 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes 7 G06F-009/46 JP 11175358 Α JP 3022829 7 G06F-009/46 B2 Previous Publ. patent JP 11175358 Abstract (Basic): JP 11175358 A NOVELTY - A controller (22) and a parameter modifying unit (23) store the lowest external storage device name to a parameter file (31). The controller and a **job** completion notifying unit (24) delete a starting **job** name from a quota management table (21), to receive a job completion notice. DETAILED DESCRIPTION - A quota management table (21) stores the quota management data on an external storage device (5), corresponding to a job . A parameter file (31) is used during start of a next job . A job starting unit (25) reads a job control language corresponding to the required starting job name to which a lowest external **device** name is added.

USE - For memory **device** of data processing system.

ADVANTAGE - Prevents reduction of input-output efficiency. Ensures efficient external storage devices. Eliminates input-output errors. Specifies lowest external device. Updates latest data. Does not increase unit cost. DESCRIPTION OF DRAWING(S)—The ligure shows the block diagram of an automatic quuta unit. (5) External storage device ; (21) Quota management table ; (22) Controller; (23) Parameter modifying unit; (24) **Job** completion notifying unit; (25) starting unit; (31) Parameter file. Dwg.1/6 Title Terms: AUTOMATIC; UNIT; MEMORY; DEVICE; DATA; PROCESS; SYSTEM; CONTROL; STORAGE; LOW; EXTERNAL; STORAGE; DEVICE; NAME; PARAMETER; FILE CONTROL; PARAMETER; MODIFIED; UNIT; DELETE; START; JOB; NAME; JOB; COMPLETE; NOTIFICATION; UNIT Derwent Class: T01 International Patent Class (Main): G06F-009/46

International Patent Class (Additional): G06F-009/06